## Consent to Electronic Delivery of Documents, Account Information, and Other Records

Alpine Securities Corporation (Alpine) account holders generally receive account information and records electronically. This includes trade confirmations, account statements, proxies, shareholder information, tax forms, and other documents, both regulatory and non-regulatory. After your confirmation of receipt, electronic documents will be provided to you when we post the information on servers accessible to you on a password-protected portion of our website.

Electronic Delivery of Statements and Trades: We will provide you with online notification or send you an email regarding the availability of the documents on our website when they are available to be viewed. You agree to check your Account on our website regularly, as you may not have any other means of knowing that a communication has been delivered to you. Some documents, such as trade confirmations and account statements, are archived online, and are accessible at your convenience. There is no charge to you from Alpine Securities for electronic delivery of documents, but online access and usage charges by your Internet service or access provider may apply.

Documents and information will be made available in PDF format and may be downloaded, printed, and saved. By confirming your consent to receive electronic delivery of documents through selecting that you "choose to receive access to your account statements online," in conjunction with our PDF verification code process listed at the bottom of these disclosures, you acknowledge that you can access, read, download and save documents in PDF format. You also acknowledge that Alpine Alerts and Messages contain important information affecting your Account and you are responsible for reading these Alerts and Messages and also the other messages, notices, disclosures and other communications on our website. By consenting to electronic delivery, you are agreeing to suppress the mailing of paper documents. Your consent to electronic delivery is immediate (although it may take one to two business days for us to process your request) and will remain in effect unless revoked by you or Alpine.

Electronic Delivery of Tax Statements: By consenting to electronic document delivery, you are agreeing to the electronic delivery of Tax Statements and the elimination of mailed paper copies. If you do not consent, paper copies will be mailed to you. You can access your Tax Statements through our secure website, which will retain copies of these documents for a minimum of six (6) years. When consenting to the electronic delivery of Tax Statements, you affirm that you are able to access and operate: (i) one of the following Internet browsers: Internet Explorer version 6 or higher, Firefox version 3 or higher, or Safari version 3.1.0 or higher; (ii) Adobe Acrobat Reader version 6.0 or higher, which can be downloaded free of charge at www.adobe.com; and (iii) either a computer with sufficient space to store Tax Statements, or a printer to create paper copies of these documents.

You may withdraw consent at any time by submitting a written request to your broker, or to Alpine. We will attempt to notify you by email when a new Tax Statement is available for your account. If this email is returned as undeliverable and we do not receive your updated and verified email address within 30 days, or otherwise cannot reach you at the email address provided, we may discontinue electronic

delivery and mail paper Tax Statements. You can update your email address by visiting the relevant portion of our website, and completing the Address and Email Change Form located there.

**Resumption of Paper Records:** If we are unable to notify you electronically, you understand that we may, in our sole and absolute discretion, discontinue electronic delivery and send your account documents in paper form. These physical documents will be mailed to the address of record provided by you on your account card or any subsequent account update forms or similar method of notification.

This Consent will remain in effect until revoked or modified by Alpine, or revoked by you. In its sole and absolute discretion, Alpine Securities may discontinue electronic delivery and mail paper records.

You may revoke your consent to electronic delivery at any time by notifying us in writing at Alpine, or by notifying your broker. This revocation from you is only effective after we receive and process it. Alpine may take up to five business days to process your request. Once consent is withdrawn, paper delivery will only apply to your account records issued after your request is processed. If you wish to receive paper documents through the U.S. mail, you understand that you may be charged fees for delivery of these paper documents.

## **Signature and Acknowledgement Section**

By signing below, I /we affirmatively consent to the receipt of the electronic delivery of documents, account information and other records. Further, I/we have read the Form titled "Consent to Electronic Delivery of Documents, Account Information and Other Records" and agree to the terms and conditions noted therein. I/we further understand the process to rescind such a request in writing at any time and will receive paper communications from that point further, in the absence of a newly signed Form.

Primary Contact Email Address		
The Customer:		
Signature	Print Name	Date
Joint Tenant Customer (if applicable):		
Signature	 Print Name	Date