



Schedule of Miscellaneous Account and Service Fees -The listed fees do not include commissions, markups, commission equivalents or advisory fees. Some of these fees may not apply to all accounts. Some fees may be waived under certain conditions.

Services Schedule	Fee Amount	Fee Frequency
Monthly Account Fee	\$5000	<i>Monthly</i>
Account Opening Fee		
Individual/Joint (Domestic)	\$250	<i>Per Request</i>
Corporate	\$450	<i>Per Request</i>
Foreign	\$500	<i>Per Request</i>
FFI (Foreign Financial Institution)	\$1,000	<i>Per Request, including each subFFI</i>
Returned Check Fee	\$75	<i>Per Item</i>
LOA's		
Movement Between Accounts	\$195	<i>Per Request</i>
Statements & Confirms		
Electronic Statements and Confirms	\$2	<i>Per Item</i>
Paper Statements and Confirms	\$5	<i>Per Item</i>
Document Copies	\$50	<i>Greater of \$50 or \$100/hr.</i>
Overnight Mail		
Regular	\$35	<i>Per Request</i>
International	\$75	<i>Per Request</i>
Saturday Delivery	\$50	<i>Per Request</i>
Account Transfers (Outgoing)		<i>Per Transfer</i>
Full ACAT	\$100	
Delivery Orders (non-ACAT system member)	\$100	<i>Per Item</i>
Cleared Stock Withdrawal Fee (Materialization)	4.5% of value	
Withdrawal by Transfer (from DTC)		
Regular	\$1,000	<i>Per Request</i>
Rush	\$2,000	<i>Per Request</i>
Withdrawal by Transfer (from Alpine Vault)	\$250	<i>Per Request</i>
Transfer on Death	\$500	<i>Per Account</i>
Garnishment/Attachments	\$5,000	<i>Per Account</i>

Cash Disbursement Services		
Services Schedule	Fee Amount	Fee Frequency
Payment by Check		
Domestic Check	\$75	<i>Per Request</i>
International Check	\$550	<i>Per Request</i>
Cancel Check	\$100	<i>Per Request</i>
Check Traces	\$100	<i>Per Item</i>
Wire Transfers		
Domestic	\$75	<i>Per Request</i>
Third Party	\$500	<i>Subject to approval</i>
Wire Rejects	\$100	<i>Per Request</i>
Wire Traces	\$100	<i>Per Request</i>
Early Payout (Cash Advance of Settlement)	3%/\$300 min	<i>Per Request</i>
Investment/Transaction Specific		
Services Schedule		
Ticket Charges		
Equity Trade	\$95 plus 1.5% of Principal	<i>Per Transaction</i>
Mutual Fund	\$95	<i>Per Transaction</i>
Market Making / Execution	2.5% of the best available price	<i>Per Transaction</i>
Settlement Fee (stocks under \$5/share)	\$.005/share Max 1.5%/Min 0.95%	<i>Per Transaction</i>
Regulatory Fees (charges imposed by Regulators)		
FINRA TAF	\$.000119/share Max \$5.95/Trade	<i>Per Transaction</i>
SEC Activity Assessment (sales only)	\$.0000231 x Principal	<i>Per Transaction</i>
Other Transaction Charges		
Physical Deliveries (Non-DTC Eligible Securities)	\$100 + cost	<i>Per Delivery</i>
Illiquidity and Volatility Fee assessed to Alpine by the National Securities Clearing Corporation (NSCC)	1% per day of the Illiquidity and Volatility charge assessed to Alpine by NSCC	<i>Per Transaction</i>
Tenders	\$50	<i>Per Item</i>
Custody Related Fees		
Services Schedule		
Safekeeping (Items Stored in Alpine Vault)	\$150	
DTC Custody Fee (Stock held at DTCC) Average daily number of shares, rounded up to a multiple of 100 shares	.00004/share	<i>Monthly</i>

OTC Deposit Related Fees		
Services Schedule		
Deposit Review Fee	\$1795	<i>Per Deposit Regardless of Method of Receipt (in advance).</i>
Deposit Review Fee (follow on conversions)	\$400	<i>Per Deposit Nonrefundable</i>
Deposit review for 3rd party acquired securities (not direct from issuer) In addition to Section 5 review fee	\$500	<i>Per Party Removed from Issuer. Nonrefundable</i>
Requests for more info on Deposit	\$250	<i>Per request</i>
Rush Fee	\$500	<i>Per request</i>
Electronic Receipt		
DWAC /DRS Receipt	\$295 + cost	<i>Per DWAC Received</i>
Receipt VIA ACAT Transfer	\$95	<i>Per Item</i>
Free Delivery (incoming)	\$95	<i>Per Item</i>
Physical Certificate Receipt		
DTC Eligible	\$295	<i>Per Item</i>
Non-DTC Eligible	\$700	<i>Per Item</i>
Restricted Legend Removal	\$450 + T/A Charge	<i>Per Item</i>
Rule 144 Affiliate & Control Securities	\$600	<i>Per Item</i>
Legal Fee (3rd Party)	Pass Through	<i>Per Item</i>
Regulatory Related Fees		
Services Schedule		
Blue Sheet Requests	\$150	<i>Per Stock per account</i>
Other Regulatory Related	Greater of \$150 per request or \$295 per hour	<i>Per Request per account. Additional pass-through costs may be added at the company's discretion.</i>
<p>The fees are subject to change at any time for any reason. Other fees not listed above may apply to your account. Contact your broker for additional information. Any cost or fees incurred by Alpine resulting from any activity in the account will be charged to, and are the sole responsibility of, the account holder. "Pass-Through" fees may apply to any transactions and can vary. Pass-Through fees can be substantial, may change without notice and be passed on to you even if not specified in this Fee Schedule. Pass-Through fees include, without limitation, fees charged by DTC, NSCC, CNS, transfer agents, freight carriers, etc. They may also include special charges for any fund amounts required to clear transactions or calls related to selling or handling the accountholder's securities. Any Alpine moneys used to cover Pass-Through charges associated with the clearance, transfer, trading or handling of the account or securities in any manner are billed at the greater of the cost of funds plus 25% or 1% per day in addition.</p>		