



# ALPINE SECURITIES

*Stock Brokerage & Investment Company*

440 East 400 South, Salt Lake City, UT 84111

Telephone: 801-355-5588

## **Customer Consent to Purchase of Penny Stock Pursuant to SEC Rule 15g-9**

**Customer Name:** \_\_\_\_\_

**Account Number:** \_\_\_\_\_

**Name of Issuer:** \_\_\_\_\_

**Number of Shares to be Purchased:** \_\_\_\_\_

Pursuant to Rule 15g-9 adopted by the Securities and Exchange Commission, Alpine Securities Corporation (“Alpine”) is required to obtain written agreement from the undersigned (the “Customer”) for the proposed purchase of a “penny stock” as defined by Section 3(a) (51) of the Securities Exchange Act of 1934, as amended.

**It is unlawful for Alpine Securities Corporation to effect the above referenced purchase of the penny stock for the Customer’s account unless Alpine has received a signed copy of this consent form.**

The Customer acknowledges that Alpine has advised them of the significant risks involved in the purchase of penny stocks and that they could lose the entire amount of their investment in the above referenced penny stock. The Customer further acknowledges that Alpine has not made any representation to the undersigned that the above purchase is a safe investment and is guaranteed to result in either a gain or a loss.

By signing below, the Customer represents that they have read and understand the above-referenced and agree to the purchase of the penny stock.

Date: \_\_\_\_\_

\_\_\_\_\_  
(Signature)

Date: \_\_\_\_\_

\_\_\_\_\_  
(Signature)

This Customer Consent must be sent to a customer at least two business days before Alpine effects a purchase of a penny stock subject to Rule 15g-9 for that customer’s account.